



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

February 18, 2003

In re application of : William L. Courtney  
Serial No. : 10/021,519  
Filed : December 7, 2001  
For : INTEGRATED OR ATTACHED SPACE  
OCCUPYING CEPHALIC RESTRAINING  
COLLAR FOR IMPROVED LIFE JACKET  
PERFORMANCE  
Examiner : Andrew D. Wright  
Art Unit : 3617  
Our File No. : 9297.6823

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CERTIFICATE OF MAILING

I hereby certify that this correspondence, and any attachments thereto, is being deposited with the United States Postal Service, as First Class mail, with sufficient postage, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

BETTY BERNAL

Name of Person Mailing  
Paper

Betty Bernal

Signature

02/18/2003

Date

COMMUNICATION

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

This Communication is in response to the Office Action dated January 16, 2003. In that action the Examiner required election between the invention claimed in claims 1-16 and the invention claimed in claims 17-21. In view of the Examiner's restriction requirement, Applicant respectfully elects claims 1-16 for examination purposes.

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Please change Applicant's middle initial to L as per enclosed copy of the application transmittal letter.

Applicant has completely responded to the Office Action dated January 16, 2003. Favorable action is respectfully requested.

Respectfully submitted,



Daniel S. Polley, Reg. No. 34,902  
Malin, Haley & DiMaggio, P.A.  
1936 South Andrews Ave.  
Ft. Lauderdale, Florida 33316  
Tel: (954) 763-3303



**PATENT APPLICATION TRANSMITTAL LETTER**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of **WILLIAM L. COURTNEY**, entitled: **INTEGRATED OR ATTACHED SPACE OCCUPYING CEPHALIC RESTRAINING COLLAR FOR IMPROVED LIFE JACKET PERFORMANCE**, which claims the benefit of and priority to U.S. Application Serial No. 60/254,380, filed December 8, 2000, including specification, abstract, and claims totaling 25 pages.

Enclosed are:

- ☒ Ten (10) sheet(s) of ☐ formal, ☒ informal drawing(s).
- ☐ an assignment of the invention to
- ☐ a certified copy of a application.
- ☐ Information Disclosure Statement, ☐ Form PTO-1449.
- ☒ Applicant claims small entity status under 37 CFR 1.27.
- ☒ Executed Declaration by the Inventor.
- ☐ Preliminary Amendment
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

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☒ **CLAIMS AS FILED SMALL ENTITY**

Basic Filing Fee			\$370.00
Total Claims 21 - 20 = excess	1	x \$9.00 =	\$9.00
Indep Claims 3 - 3 = excess	0	x \$42.00 =	\$0.00
Multiple Dependent Claims		x \$140.00 =	\$0.00
=====			
TOTAL			\$379.00

☐ **CLAIMS AS FILED OTHER THAN A SMALL ENTITY**

Basic Filing Fee			\$740.00
Total Claims - 20 = excess		x \$18.00 =	\$
Indep Claims - 3 = excess		x \$84.00 =	\$
Multiple Dependent Claims		x \$280.00 =	\$
=====			
TOTAL			\$

☐ A duplicate copy of this sheet is enclosed.

☒ Certificate of Express Mail No. EL 630274804 US

☒ A check in the amount of \$379.00 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 13-1130 as described below. I have enclosed a duplicate copy of this sheet.

☐ Charge the amount of \$ as filing fee.

☒ Credit any overpayment.

☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

December 7, 2001

Date

Daniel S. Polley, Reg. No. 34,902

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1936 South Andrews Avenue  
Fort Lauderdale, Florida 33316  
(954) 763-3303

Attorney Docket No. 9297.6823  
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